Docket No.

210552US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Stefan DREHER, et a

SERIAL NO:

09/868,903

FILED:

July 9, 2001

FOR:

POLYMER DISPERSIONS

GAU:

1714

EXAMINER: Cain, E.J.

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references cited on the attached Notice of Opposition and listed on the
attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of
relevancy or any readily available English translations of pertinent portions of any non-English language
references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present
application. In accordance with discussions on August 4, 2004 with Mr. Nicholas P. Godici, Commissioner
for Patents, it is no longer required to submit copies of cited pending applications. A modification of the
Rules will be published soon in the Official Gazette. Cited issued patents, if any, are listed on the attached PTO
form 1449.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Vincent K. Shier, Ph.D. Registration No. 50,552

Customer Number

22850

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Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE				ATTY DOCKET NO.		SERIAL NO.		
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LIST OF REFERENCES CITED BY APPLICANT				APPLICANT				
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124		<u>'/</u>	·	J.S. PATENT DOCUMENTS				
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	АВ	6,730,740	05/04/04	Mestach et al. (corresponds to EP 0 989 163 A1)				
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<u> </u>	<u> </u>	EP 0 770 640 A2	05/02/97	Europe w/attached English Abstract (correponds to U.S. 5,874,524)				
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